

Asymmetric Catalysis of Ene-type Reaction with Fluoral by Chiral Titanium Complex: A Semi-empirical and Ab-initio Analysis of Ene Reactivity

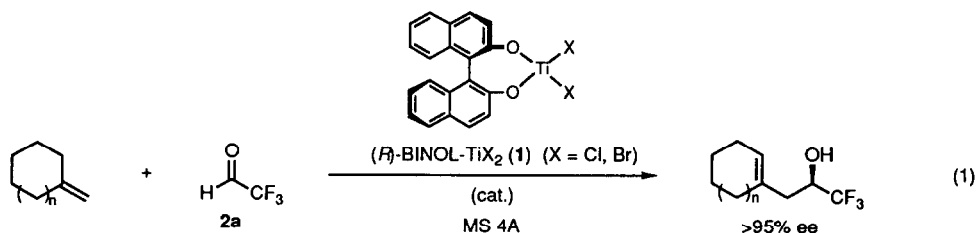
Koichi Mikami,* Tomoko Yajima, Masahiro Terada and Tadafumi Uchimaru†

Department of Chemical Technology, Tokyo Institute of Technology, Meguro-ku, Tokyo 152, Japan

†National Institute of Materials and Chemical Research, Agency of Industrial Science and
Technology, MITI, Tsukuba, Science City 305, Japan

Abstract: The chiral titanium complex-catalyzed ene-type reaction with fluoral is shown to serve as an efficient route for the asymmetric synthesis of CF₃-substituted compounds of biological and synthetic importance in extremely high enantiomeric excesses (>95% ee). The ene reactivity of trihaloacetaldehyde including fluoral is estimated in terms of the balance of LUMO energy level vs. electron density on the carbonyl carbon.

Recently, asymmetric catalytic carbonyl-ene reaction has been emerging as an efficient method for asymmetric carbon-carbon bond formation.¹ However, only limited types of aldehyde enophile have been explored thus far.² We now wish to report the asymmetric catalysis of carbonyl-ene reaction with fluoral (**2a**) which provides an efficient route for the asymmetric synthesis of CF₃-containing compounds of biological and synthetic importance³ in unprecedentedly high level of enantiomeric excess (>95% ee) by using chiral binaphthol-derived titanium (BINOL-Ti) complex (**1**).^{1,2a} (eq. 1).⁴ Detailed below are the asymmetric catalysis of ene-type reaction with fluoral and the analysis of the ene reactivity based on the semi-empirical and ab-initio molecular orbital calculations.



The reaction was carried out just by simply adding freshly dehydrated and distilled fluoral (**2a**) and then olefin at 0 °C to the solution of chiral titanium dihalide (**1**) (10 mol%) prepared from (*R*)- or (*S*)-binaphthol and diisopropoxytitanium dihalide as described for glyoxylate-ene reaction.^{2a} The reaction was completed within 30 min (monitored by TLC). Usual work-up followed by column chromatography provided the good isolated yields of the homoallylic (**3**) and allylic (**4**) alcohol products. The enantiomeric purities of both products were determined to be almost perfect (>95% ee) by ¹H NMR analysis after transformation to the (*S*)-(-)- and (*R*)-(+)-MTPA ester derivatives.⁵ The

absolute configuration of the products (**3** and **4**) was determined by the Mosher method.⁵ The sense of asymmetric induction is, therefore, exactly the same as observed for the glyoxylate-ene reaction; (*R*)-**1** provides (*R*)-**3** and -**4**. Table I summarizes the representative results of the asymmetric catalytic ene-type reaction with trihaloacetaldehydes including chloral (**2b**) as a reference.

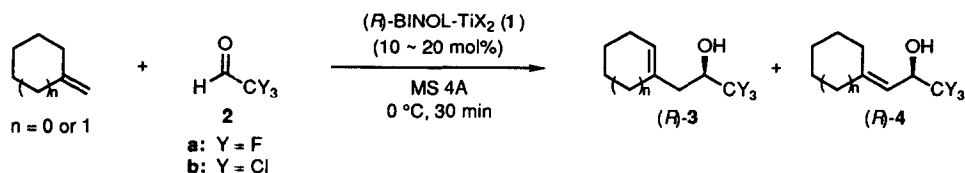


Table I. Asymmetric catalytic ene-type reaction with trihaloacetaldehydes.^a

Entry	2	1 (X)	olefins (n)	solvent	%yield	3 (% ee)	:	4 (%ee)
1	2a	Cl	0	CH ₂ Cl ₂	78	62 (>95% ee)	:	38 (>95% ee)
2	2a	Cl	1	CH ₂ Cl ₂	93	76 (>95% ee)	:	24 (>95% ee)
3	2a	Br	1	CH ₂ Cl ₂	95	79 (>95% ee)	:	21 (>95% ee)
4	2a	Cl	0	toluene	82	77 (>95% ee)	:	23 (>95% ee)
5	2a	Cl	1	toluene	82	79 (>95% ee)	:	21 (>95% ee)
6	2b	Cl	0	CH ₂ Cl ₂	57	55 (26% ee)	:	45 (75% ee)
7 ^b	2b	Br	0	CH ₂ Cl ₂	84	59 (37% ee)	:	41 (85% ee)
8	2b	Cl	1	CH ₂ Cl ₂	49	52 (34% ee)	:	48 (66% ee)
9 ^b	2b	Br	1	CH ₂ Cl ₂	40	48 (45% ee)	:	52 (80% ee)
10 ^b	2b	Cl	1	toluene	35	63 (11% ee)	:	37 (66% ee)

^a All reactions were carried out with 0.1 mmol (10 mol%) of **1**, 1.0 mmol of olefin, and ca. 2.0 mmol of **2** in the presence of MS 4A (0.2 g), unless otherwise marked. ^b 0.2 mmol (20 mol%) of **1** was employed.

Inspection of Table I indicates several characteristic features of the asymmetric catalytic ene-type reaction with trihaloacetaldehydes. (1) Of particular interest is that the ratio of the homoallylic (**3**) and allylic (**4**) alcohol products depends heavily on the nature of the halogen substituents. (2) The enantiomeric excesses of the ene-type reactions also rely on the halogen substituents. (3) Amongst, fluoral provides remarkably high levels of enantiomeric excesses (>95% ee) for both the homoallylic (**3**) and allylic (**4**) alcohol products. (4) Thus, the catalytic ene type-reaction involving fluoral enophile provides an efficient route to the asymmetric synthesis of CF₃-containing compounds, irrespective of the solvent and halide ligand of BINOL-Ti catalyst (**1**).

Of mechanistic interest is the significant influence of the halogen substituents not only on the product ratio but also on the enantiomeric excesses of homoallylic (**3**) and allylic (**4**) alcohol products. Thus, the ene reactivity of trihaloacetaldehydes was estimated on the basis of the atomic charge and LUMO energy level (MNDO, PM3, and 6-31G**).^{6,7,8} The refined results were obtained using the split-valence basis set with polarization functions (6-31G**). The results from semi-empirical (MNDO and PM3) and ab-initio (6-31G**) calculations were very comparable (Table II).

Table II. Computational analysis of trihaloacetaldehyde/H⁺ complexes.^a

		fluoral (2a) / H ⁺	chloral (2b) / H ⁺	acetaldehyde (2c) / H ⁺
ab initio (RHF/6-31G ^{**})	LUMO (eV)	-5.40	-4.88	-4.09
	C ₁ charge	+0.61	+0.64	+0.70
PM3	LUMO (eV)	-8.64	-7.83	-7.42
	C ₁ charge	+0.36	+0.39	+0.44
MNDO	LUMO (eV)	-8.55	-8.14	-7.37
	C ₁ charge	+0.36	+0.42	+0.42

^a MO calculation was run on the aldehyde (2) / H⁺ complexes as a model of 2 / Lewis acid complexes.

Inspection of Table II leads to MO analysis of the ene vs. cationic reactivity of trihaloacetaldehydes. The frontier orbital interaction between the HOMO of the ene component and the LUMO of the carbonyl enophile is the primary interaction in ene reactions. Fluoral (2a) complex with the lower LUMO energy level is thus the more reactive enophile species to give mainly the homoallylic alcohols (3) and chloral (2b) complex with the higher LUMO energy level is the less reactive one. In contrast, chloral complex bears the greater positive charge at the carbonyl carbon (C₁) and hence is the more reactive compound in terms of the cationic (Friedel-Crafts-type) reaction leading eventually to the allylic alcohols (4). Interestingly, the data reveals that the positive charge of the acetaldehyde (2c) carbonyl carbon is greater than the fluoral (2a).⁹ Thus, the ene reactivity of aldehydes including chloral is determined in terms of the balance of LUMO energy level vs. electron density on the carbonyl carbons (C₁).

In summary, we have reported that the chiral titanium complex-catalyzed ene-type reaction with fluoral provides an asymmetric route for the CF₃-substituted compounds of biological and synthetic importance, and that the ene reactivity of trihaloacetaldehyde including fluoral was estimated in terms of the balance of the LUMO level vs. atomic charges of reaction sites of the enophile components. Further works along this line is now under active investigations.

Acknowledgment: We are grateful to Dr. Masamichi Maruta in Central Grass Co.. This research was financially supported by Central Grass Co.

References and Notes

- (1) Reviews: Mikami, K.; Shimizu, M. *Chem. Rev.* **1992**, *92*, 1021. Mikami, K.; Terada, M.; Narisawa, S.; Nakai, T. *Synlett* **1992**, 255.
- (2) (a) Glyoxylate: Mikami, K.; Terada, M.; Nakai, T. *J. Am. Chem. Soc.* **1989**, *111*, 1940; **1990**, *112*, 3949. Mikami, K.; Terada, M.; Narisawa, S.; Nakai, T. *Org. Synth.* **1992**, *71*, 14. (b) Chloral: Maruoka, K.; Hoshino, Y.; Shirasaka, T.; Yamamoto, H. *Tetrahedron Lett.* **1988**, *29*, 3967.

-
- (3) Reviews: Welch, J. T.; Eswarakrishnan, S. *Fluorine in Bioorganic Chemistry*; Wiley: New York, NY, 1990. Liebman, J. F.; Greenberg, A.; Dolbier, Jr., W. R., Eds. *Fluorine Containing Molecules*; VCH: Deerfield Beach, FL, 1988. Ishikawa, N, Ed. *Synthesis and Reactivity of Fluorocompounds*; CMC: Tokyo, 1987, Vol. 3. Welch, J. T. *Tetrahedron* 1987, 43, 3123. Mann, J. *Chem. Soc. Rev.* 1987, 16, 381. Kitazume, T.; Yamazaki, T. *J. Synth. Org. Chem. Jpn.* 1987, 45, 888. Ojima, I. *L'Actualite Chimique* 1987, May, 179. Knuyants, I. L.; Jacobson, G. G., Eds. *Synthesis of Fluoroorganic Compounds*; Springer-Verlag: New York, 1985. Smart, B. In *The Chemistry of Halides, Pseudohalides and Azides*; Patai, S.; Rapoport, Z. Eds.; Wiley: New York, NY, 1983, Suppl. D; pp. 603-655. Filler, R.; Kobayashi, Y., Ed. *Biomedical Aspects of Fluorine Chemistry*; Kodansha Ltd.: Tokyo, 1982. Banks, R. E., Ed. *Preparation, Properties and Industrial Applications of Organofluorine Compounds*; E. Horwood: Chichester, 1982. Hudlicky, M. *Chemistry of Organic Fluorine Compounds*, 2nd Ed.; E. Horwood: Chichester, 1976. Chambers, R. D. *Fluorine in Organic Chemistry*; Wiley-Interscience: New York, NY, 1973. *Ciba Foundation Symposium, Carbon-Fluorine Compounds, Chemistry, Biochemistry and Biological Activities*; Elsevier: New York, NY, 1972.
- (4) Review on the ene-type reaction of CF₃-containing compounds with achiral Lewis acid promoters: Nagai, T.; Kumadaki, I. *J. Synth. Org. Chem. Jpn.* 1991, 49, 624.
- (5) Dale, J. A.; Mosher, H. S. *J. Am. Chem. Soc.* 1973, 95, 512.
- (6) We carried out molecular orbital calculations on the protonated aldehydes as a model for 2/ Lewis acid complexes. In the transition states, one of the halogen substituents on the α carbon of aldehydes is most likely to occupy the antiperiplanar region relative to the attacking reagent; Mikami, K.; Shimizu, M. 'Stereolectronic Rules in Addition Reactions: "Cram's Rule" in Olefinic Systems' in *Advances in Detailed Reaction Mechanisms*; Coxon, J. M., Ed.; JAI Press: Connecticut, U. S. A. Vol. 3, 1993. Houk, K. N. et al. *Science* 1986, 231, 1108. The structures of the model compounds were thus optimized with the torsional angle about F/Cl-C-C-O being fixed to 90°. Starting from the geometries optimized by molecular mechanics calculations, MNDO (MOPAC version 6.10 run on a Tectronix CAChe^R molecular modeling workstation) and ab-initio (RHF/6-31G**; Gaussian 90 on HP/Apollo-Series-700) geometry optimizations were carried out without any additional constraints.
- (7) An ab-initio MO study on α -fluoro propanal in support of the Felkin-Anh model: Wong, S. S.; Paddonrow, M. N. *Chem. Commun.* 1990, 456. A semi-empirical and ab-initio MO study on fluoroketones: Linderman, R. J.; Jamois, E. A. *J. Fluor. Chem.* 1991, 53, 79.
- (8) MNDO and PM3 atomic charges were evaluated from the positive core charges and the numbers of valence electrons. The natural bond orbital (NBO) analysis, instead of conventional Mulliken population analysis, was used for evaluating atomic charges in the ab-initio calculations: Reed, A. E.; Weinstock, R. B.; Weinhold, F. *J. Chem. Phys.* 1985, 83, 735.
- (9) The reduced carbonyl C-O bond polarity for fluoral might be rationalized by electron withdrawal from oxygen by fluorine substituents.